

The promise of gene therapy in common types of dementia

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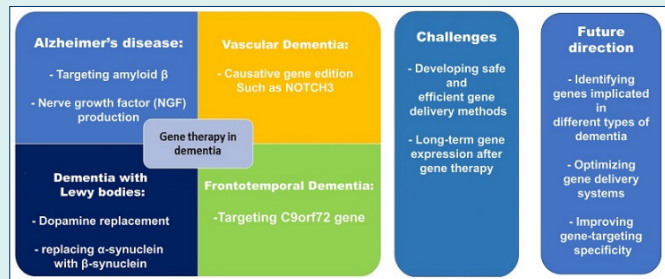
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Abstract

Dementia is an umbrella term describing different types of diseases that lead to cognitive impairment and memory dysfunction, predominantly affecting older adults. The most common forms include Alzheimer's disease (AD), vascular dementia (VaD), dementia with

Lewy bodies (DLB), and frontotemporal dementia (FTD). Despite extensive research, there is no definitive cure for dementia, primarily due to its complex and multifactorial nature, particularly the role of genetic abnormalities. Gene therapy, a novel therapeutic approach, aims to correct defective genes or introduce functional gene products by delivering specific DNA sequences to patients, and is often considered for individuals unresponsive to conventional treatments, such as those with dementia. Over the past decade, significant research has explored the potential of gene therapy in dementia, offering new hope for more effective treatments. However, several challenges remain in its practical application. One key challenge is developing safe and efficient gene delivery methods, as the brain's intricate structure and protective barriers present significant obstacles. Furthermore, ensuring the long-term expression and stability of therapeutic genes is crucial for sustained benefit. Future studies should focus on identifying genes implicated in different types of dementia, optimizing gene delivery systems, improving gene-targeting specificity, and conducting comprehensive clinical trials to assess the safety and efficacy of these therapies. Addressing these challenges could pave the way for novel treatment strategies, ultimately improving the quality of life for individuals with dementia.



Introduction

Definition of dementia

Dementia is an umbrella term used to describe neurodegenerative syndromes with similar characteristics. The common feature of these conditions is chronic cognitive and emotional impairment, interfering with daily activities and significantly reducing quality of life. Currently, about 55 progressive and non-progressive diseases have been identified as dementia-related syndromes in clinical setting. Common types of dementia

include Alzheimer's disease (AD, the most common type of dementia), vascular dementia (VaD), dementia with Lewy body (DLB), and frontotemporal dementias (FTD) (Fig. 1).¹ The clinical manifestations of dementia very widely among patients and may present as memory loss, communication and language disorders, agnosia (inability to object recognition), apraxia (inability to perform learned tasks), and impaired executive function (reasoning, judgment, and planning). Cognitive impairment is mainly due to brain inflammation, changes



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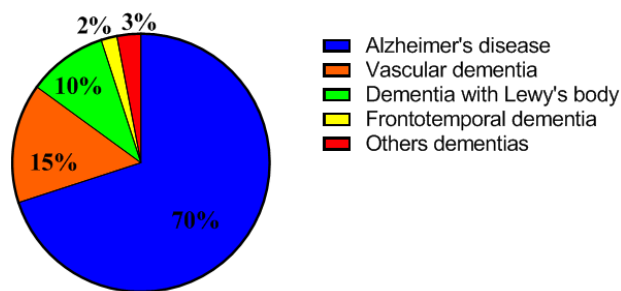


Fig. 1. Prevalence percentage of common types of dementia.

in the brain metabolism, and synaptic dysfunction.^{2,3}

Epidemiology

The prevalence of dementia increases with age. The risk at the age 60 is about 1%, doubling every five years, reaching 30-50% at the age of 85.⁴ Typically, patients who develop dementia before the age of 65 have early dementia (at working age), while those diagnosed after age 65 have late-onset dementia.⁵ According to Prince et al, about 24 million people were affected by dementia in 2001, which increased to approximately 35 million in 2010. This number is expected to rise to 65 million by 2030 and 115 million in 2050, with nearly two-thirds of affected individuals living in developing and low-income countries.⁶

Risk factors

Cognitive decline is influenced by several risk factors, which can be categorized into two groups: those specific to particular types of dementia and general risk factors. Age is the most prominent risk factor, with the probability of developing cognitive impairment escalating as individuals advance in age.⁷ Neuroanatomical changes associated with aging include a progressive reduction in brain volume, primarily attributable to the loss of neurons and synaptic connections.⁸ Furthermore, the accumulation of neurotoxic protein aggregates, such as tau and amyloid beta, is known to increase with age, potentially leading to neuronal dysfunction and subsequent cell death.⁹ Additionally, the incidence of chronic inflammatory conditions tends to rise with age, contributing to a neuroinflammatory milieu which exacerbates oxidative stress and neuronal degeneration. Thus, aging is regarded as a critical risk factor for cognitive decline.¹⁰

Another significant contributor to cognitive impairment is the reduction in cerebral perfusion resulting from various pathological conditions. Cardiovascular diseases, including myocardial infarction, coronary artery disease, and atherosclerosis, are notable in this context. These conditions are associated with diminished cerebral blood flow and ischemia, leading to vascular damage, hypoxia, and impaired delivery of essential nutrients to neurons, ultimately resulting in oxidative injury to neuronal cells.^{11,12}

Diabetes mellitus is also a substantial risk factor for cognitive decline. Numerous studies have demonstrated that vascular complications associated with diabetes lead to impaired perfusion of neural tissue, coupled with the induction of inflammatory pathways and oxidative stress, both of which contribute to neuronal apoptosis.¹³ Furthermore, a deficiency or downregulation of the insulin-degrading enzyme in the brain, responsible for the catabolism of amyloid beta, has been implicated in the accumulation of these neurotoxic aggregates within neurons.¹⁴ Alterations in the insulin signaling cascade may also facilitate pathological interactions between receptors for advanced glycation end products and A β peptides, further complicating the neurodegenerative process.^{15,16}

Obesity constitutes another critical risk factor, as it can adversely affect cerebral hemodynamics. An increase in adipose tissue is associated with white matter integrity compromise and a reduction in neuronal populations, thereby heightening the susceptibility to cognitive decline.^{1,17}

Additionally, previous studies have found that individuals with a positive family history of dementia are at double the risk compared to others. Although, Mendelian forms of dementia are rare, some dementia cases are genetically complex. Various genetic mutation, such as those in the amyloid precursor protein (APP), presenilin 1 (PSEN1), presenilin 2 (PSEN2), microtubule-associated protein tau (MAPT), granulin (GRN), and chromosome 9 open reading frame 72 (C9ORF72) genes, increase the likelihood of developing dementia, making genetic predisposition an important risk factor as described.¹⁸

Gene therapy

Gene therapy is an innovative approach to treating diseases by correcting defective genes or using gene products to alter gene expression.^{19,20} The U.S. Food and Drug Administration (FDA) defines gene therapy as a treatment that utilizes genetic material, such as nucleic acids (DNA or RNA), viruses, modified microorganisms, or a newly developed gene-editing method known as Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR) and CRISPR-associated protein 9 (CRISPR/Cas9). These modifications can occur by integrating new genetic material into existing genes or adding functional copies of genes.^{21,22} Genetic material may be used to alter cells inside the body or transferred to cells outside the body before being reintroduced into the patient. Gene therapy can be categorized into two types: Somatic gene therapy, where genes are inserted into specific cells to treat an individual's condition without heritable changes, and germ-line gene therapy, where modifications are passed down to future generations. Current regulations only permit gene therapy in non-reproductive cells.^{23,24}

Advances in gene delivery systems, such as viral vectors

and CRISPR/Cas9, have made it possible to target the brain, overcoming one of the primary challenges in treating neurological disorders.²⁵ Further, growing body of research has identified promising gene targets for therapeutic intervention in common forms of dementia. For instance, in AD, genes involved in amyloid metabolism, tau protein regulation, and neuroinflammation have been the focus of gene therapy studies. Similarly, specific gene mutations associated with familial forms of dementia have been highlighted as potential targets for gene editing or gene silencing strategies.^{18,26} As a result, numerous gene therapy studies have been conducted in the field of dementia treatment. In this review, we will evaluate the progress made in targeting specific genes, the challenges encountered, and the potential for future advancements in dementia therapy.

Gene therapy in common types of dementias

AD and gene therapy

AD is a multifactorial progressive dementia characterized by the accumulation of extracellular plaques composed of amyloid beta (A β) and intracellular neurofibrillary tangles (NFTs) containing tau protein (tauopathy). The most common manifestation of AD is short-term memory impairment.²⁷ Additionally, AD affects other cognitive functions, such as visual-spatial processing; and executive functions. Symptoms of AD related to synaptic dysfunction include the destruction of cortical neural circuits and neuronal degeneration, particularly in the brain's cognitive cortex. This leads to a loss of synaptic stability (homeostasis), neuronal loss, and disruption of the neural network's integrity.²⁸

AD is classified into two categories: early-onset Alzheimer's disease (EOAD) and late-onset Alzheimer's disease (LOAD). The early-onset type manifests in approximately 5% of cases before the age of 65. This form can be further divided into two subtypes: those exhibiting an autosomal inheritance pattern (5-10% of EOAD cases) and sporadic early-onset Alzheimer's disease (sEOAD).²⁹ The primary factors contributing to this type of Alzheimer's are disruptions in several genes, including the A673V variant of the amyloid precursor protein (APP) for the autosomal recessive form, as well as mutations in the APP gene, presenilin 1 (PSEN1), and presenilin 2 (PSEN2), which are recognized as major causes of the autosomal dominant form of AD.³⁰

In contrast, late-onset Alzheimer's disease is primarily associated with neurodegeneration resulting from the accumulation of A β . A β is a protein derived from the APP, which is located at the presynaptic terminals of neurons in vertebrates. Enzymes such as α -secretase, γ -secretase, and β -site APP cleaving enzyme 1 (BACE-1) cleave APP, resulting in the production of amyloid beta fragments. When the activity of α -secretase is diminished, abnormal cleavage of APP outside the appropriate zone

by β -secretase leads to the production and aggregation of A β , ultimately resulting in neuronal death.^{28,31}

A β tends to aggregate and form plaques due to its biochemical properties, primarily existing in two main forms: A β 40 and A β 42, which differ in their β -sheet lengths. A β polymerization occurs through a nucleation-dependent pathway, resulting in the formation of fibrils and intermediate structures, such as paranuclei and protofibrils. Both A β fibrils and smaller oligomers deposit intracellularly and extracellularly, contributing to neuronal dysfunction.³² This dysfunction arises from the formation of irregular aggregates, while beta-oligomer amyloids create insoluble fibrillar structures. These aggregates resist hydrolytic degradation, leading to plaque formation within neurons, disrupting their function and contributing to the pathophysiology of Alzheimer's disease.³³

Mutations in the APP gene can result in the production of longer A β peptides owing to the overactivation of β -secretase, leading to an overproduction of neurotoxic forms of A β .³⁴ Additionally, loss-of-function mutations in the PSEN1 and PSEN2 genes reduce the production of A β 40 relative to A β 42 due to altered γ -secretase activity, resulting in an increased A β 42/A β 40 ratio, which further contributes to the formation of amyloid plaques (Fig. 2).³⁵

Over 200 mutations in these genes have been associated with early-onset AD. Despite its genetic basis, early-onset AD is relatively rare compared to late-onset AD. Late-onset AD typically begins after age 65 and is influenced by multiple factors. It has a genetic link to the ApoE4 allele, which increases susceptibility to the disease. ApoE4 is connected to increased neuroinflammatory responses. This allele can alter how microglia, the brain's immune cells, are activated, resulting in a pro-inflammatory environment which may aggravate neuronal injury and lead to cognitive decline.³⁶ In addition to its role in amyloid pathology, ApoE4 might also affect the phosphorylation and aggregation of tau proteins. Tau tangles are a critical pathological feature of AD, and those with the ApoE4 variant may show more severe tau-related pathology (Fig. 2).³⁷ Recent research, including studies from the Genetic and Environmental Risk Factors for Alzheimer's Disease (GAAWS), has identified more than 270 genetic loci associated with an increased risk of developing late-onset AD.³⁸

Human studies on gene therapy for AD are limited. One clinical trial study examined the bilateral stereotactic administration of adeno-associated virus carrying nerve growth factor (AAV2-NGF) into the basal forebrain region. This approach was associated with a reduction in cognitive abnormalities and progression of AD compared to baseline. However, improvements in cognitive function were not observed among the participants. This study demonstrated that long-term gene-mediated NGF expression triggered a growth response in the nucleus

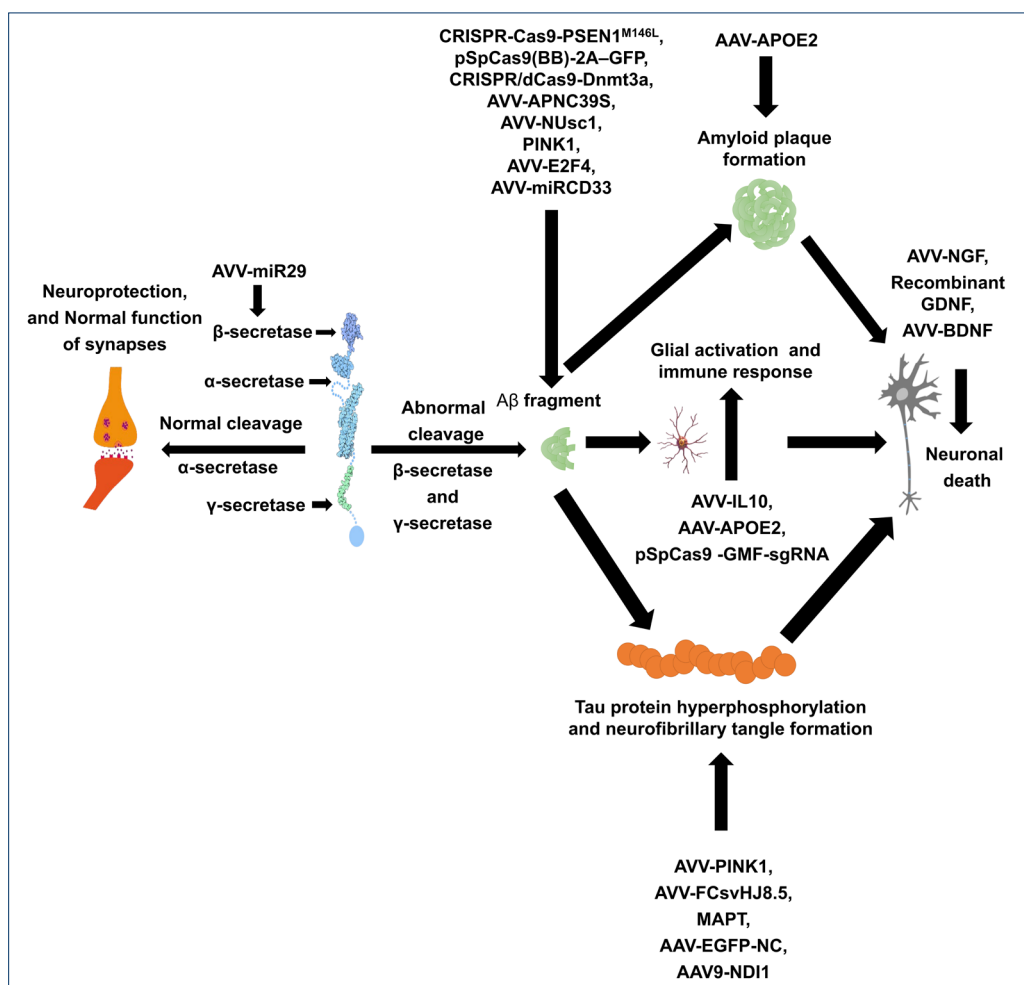


Fig. 2. Pathophysiology and gene therapy targets in AD. AAV: Adenovirus vector vaccine, NGF: Nerve growth factor, BDNF: Brain derived neurotrophic factor, GDNF: Glial-derived neurotrophic factor, IL10: Interleukin-10, MAPT: Microtubule-associated protein tau, EGFP-NC: Enhanced green fluorescent protein-nuclear localization signal, Aβ: Amyloid beta, pSpCas9: plasmid *Staphylococcus pyogenes* cas9, GMF-sgRNA: Gene modification framework- single guide RNA, 2A-GFP: 2A peptide-green fluorescent protein, PINK1: Phosphatase and tensin homolog-induced putative kinase 1, NUsc1: Nuclear envelope spanning protein 1.

basalis of Meynert (NBM) neurons when exposed to the NGF protein.³⁹ In another study, Tuszyński et al reported that all patients showed nerve fiber growth towards the NGF source and observed cholinergic neuronal hypertrophy in the treated group. They concluded that neurons in the AD brain retain the capacity to respond to growth factors with axonal sprouting, cell hypertrophy, and activation of functional markers, indicating that these neurons can still react to specific growth factors to promote growth and activity.⁴⁰ However, Castle et al highlighted that the lack of cognitive improvement may be attributed to the vector delivery method. They found that AAV2-NGF did not effectively engage the target cholinergic neurons. They recommended using advanced imaging techniques and convection-enhanced delivery for future clinical trials to enhance the delivery of growth factors to the brain.⁴¹ Additionally, Mummery et al conducted a phase 1b, randomized, placebo-controlled trial to evaluate the safety and pharmacokinetics of MAPT_{Rx}, a tau-targeting antisense oligonucleotide, in 46 patients

with mild AD. Participants received doses of 10 mg, 30 mg, and 60 mg or two quarterly doses of 115 mg. The results revealed that 94% experienced mild to moderate adverse events, primarily headaches following lumbar puncture, with no severe events reported. A significant reduction in tau protein concentration in cerebrospinal fluid (CSF) was also observed.⁴² These results suggest that while growth factor gene therapy shows promise as a safe and potentially valuable approach to AD management, further investigation is needed to optimize delivery methods and assess long-term efficacy.

Aβ and its role in AD represent another critical target for gene therapy. An experimental study utilized a vector carrying miR-29 to reduce the expression of β-site amyloid precursor protein cleaving enzyme 1 (BACE1). This approach mitigated the degenerative effects of Aβ peptide and attenuated cognitive disturbances in a rat model of AD.⁴³ In another study, Du et al explored gene therapy-mediated overexpression of PTEN-induced putative kinase 1 (PINK1), which is typically reduced in AD. This

intervention improved mitochondrial function through the activation of autophagy, leading to a reduction in A β -induced synaptic degeneration and cognitive decline in AD mice.⁴⁴ Additionally, polymorphisms in the E2F4 transcription factor, a regulator of cell cycle genes, are associated with stress response, apoptosis, synaptic function, and memory formation, and have been linked to AD.⁴⁵ Sanchez et al demonstrated that systemic injection of an AAV.E2F4 vector improved memory and cognitive function while reducing A β production and aggregation in the hippocampus of mice.⁴⁶ In another animal study, Griciuc et al assessed the effects of the miRCD33 vector in an APP/PS1 mouse model of AD. Their findings revealed significant reductions in soluble A β and amyloid plaque load in both 8-month-old and 2-month-old AD mice. Additionally, this treatment reduced neuroinflammation and microglia activation in both age groups.⁴⁷

Inhibition of tau protein hyperphosphorylation through gene therapy has emerged as a promising approach for Alzheimer's treatment. Wang and colleagues demonstrated that increasing the expression of PTEN-induced PINK1 via the tail vein injection of the adeno-associated viral vector pAAV-CMV-PINK1-P2A-EGFP-3FLAG (AAV-PINK1) six weeks prior to intracerebral injection of forskolin, a tau hyperphosphorylating agent, led to the preservation of the PI3K/Akt/GSK3 β signaling pathway. This increase in PINK1 expression resulted in reduced mitochondrial damage through elevated antioxidant levels, thereby maintaining mitochondrial structure and subsequently reducing neuronal loss as well as synaptic damage in the studied rats.⁴⁸ The Fc domain of the anti-tau antibody HJ8.5, delivered via the AAV2/8 viral vector, significantly diminished hyperphosphorylated tau accumulation in the hippocampus of transgenic tauopathy mice through ICV injection, without affecting extracellular tau levels in the CSF. This suggests that microglial tau clearance may not be essential for the protective effects of anti-tau antibodies, indicating a potential novel therapeutic strategy.⁴⁹ The use of brain-derived neurotrophic factor (BDNF) as a gene-based therapeutic approach was investigated by Jiao and colleagues in an Alzheimer's animal model, motivated by the observed reduction of serum BDNF levels in Alzheimer's patients. In this study, no significant changes in hyperphosphorylated tau levels were noted among the experimental groups. However, the restoration of BDNF through alternative pathways led to improvements in behavioral deficits, reduced neuronal death, and enhanced synaptic status in the studied animals, indicating an independent neuroprotective effect of BDNF in tau-related neuronal damage.⁵⁰ In the study by Asih and colleagues using an Ab Alzheimer's mouse model, it was found that wild-type human tau or phosphorylation-deficient tau T205A demonstrated that T205 modification is critical for the downstream effects of p38 γ , which prevent memory impairment in APP

transgenic mice. Furthermore, genome editing of the T205 codon in the MAPT gene of mice revealed that this single side chain in endogenous tau critically modulates memory deficits in APP transgenic Alzheimer's mice. The ablation of the protective effect of p38 γ activity through genetic deletion of p38 γ in a tau transgenic mouse model expressing non-pathogenic tau rendered tau toxic and resulted in impaired memory function in the absence of human A β . These findings indicate that modulating neuronal p38 γ activity serves as an intrinsic tau-dependent therapeutic approach to enhance compromised cognition in advanced dementia.^{51,52}

Other experimental studies have demonstrated the beneficial effects of gene therapy on various aspects of AD and have developed new gene therapy methods. For instance, the study by Koller et al examined the relationship between APOE4 and tau by using bilateral ICV injection of AAV-APOE4 in mice to assess whether altering tau expression could prevent AD. The results revealed no significant changes in tau protein expression, indicating a lack of direct correlation between APOE4 and tau in this Alzheimer's model. This finding suggests that tau may follow a distinct pathway, prompting a shift in Alzheimer's treatment strategies towards other gene therapy approaches that address various aspects of the disease.⁵³ Rodrigues et al examined the impact of a single dose of specially designed liposomes containing ApoE2 DNA on mouse brains. They observed a significant increase in ApoE levels following transfection, suggesting that this method could enhance the ability to target the brain and deliver genes more effectively.⁵⁴ Similarly, Jackson et al reported that a single intracerebroventricular (ICV) injection of AAV-APOE2 led to a rapid reduction in A β plaque deposition, neuronal loss, and microglial activation in both human tissue and an APP/PS1 mouse model of AD.⁵⁵ These findings indicate that gene therapy using APOE2 holds promise as a novel approach for AD treatment. Other studies are listed in Table 1 (Fig. 2).

CRISPR-Cas9 studies represent another aspect of gene therapy for AD. This method has shown that genome editing could be beneficial for AD treatment; however, there is no clinical study in this field due to its novelty. Konstantinidis et al utilized the CRISPR-Cas9 system from *Streptococcus pyogenes* (Sp) to selectively disrupt the PSEN1^{M146L} allele in fibroblasts from individuals carrying the PSEN1 mutation, which is associated with early-onset AD. The results indicated that disruption of more than 50% of mutant alleles was achieved in all CRISPR-Cas9-treated samples, leading to a partial restoration of the extracellular A β 42/40 ratios; however, the system was not efficient enough, necessitating further research.⁶⁶ In an experimental study, Raikwar et al employed an AAV co-expressing *Staphylococcus aureus* (Sa) Cas9 and a glia maturation factor-specific guide RNA (GMF-sgRNA) as well as lentiviral vectors (LVs) expressing either Sp Cas9

Table 1. articles in AD gene therapy

Author	Model	Method	Findings
Selles et al ⁵⁶	<ul style="list-style-type: none"> Hippocampal cultures of Wistar rat Human cortical slice culture APPswe/PS1ΔE9 mice 	<ul style="list-style-type: none"> AAV9-NUsc1 for expression the NUsc1, an Aβ Oligomer antibody (AβOab) 	<ul style="list-style-type: none"> Inhibition the AβO binding to neurons Inhibition the dendritic spine loss Reversed memory defect in aged mice Memory impairment prevention
Arora et al ⁵⁷	<ul style="list-style-type: none"> APP/PS1 AD mice 	<ul style="list-style-type: none"> Brain delivery the plasmid encoding BDNF through lipid-base nanoparticles 	<ul style="list-style-type: none"> Aβ plaque reduction Increase in synaptic protein Increase in cell proliferation Improve in nesting test score behavior
Elmer et al ⁵⁸	<ul style="list-style-type: none"> Tg mice 	<ul style="list-style-type: none"> AAV-scFv-IgG 	<ul style="list-style-type: none"> Significant Aβ plaque reduction in cortex and hippocampus
Murphy et al ⁵⁹	<ul style="list-style-type: none"> Acat1^{-/-33} and Acat2^{-/-34} mice 	<ul style="list-style-type: none"> ACAT1-targeting AAVs for knockdown ACAT activity 	<ul style="list-style-type: none"> Decrease in Aβ Decrease in full-length human amyloid precursor protein (hAPP)
Kiyota et al ⁶⁰	<ul style="list-style-type: none"> Tg2576 mice M146 L PS1 mutant mice 	<ul style="list-style-type: none"> AAV virus expressing IL-10 	<ul style="list-style-type: none"> Reduce in astro/microgliosis Enhancement in neurogenesis Learning improvement
Revilla et al ⁶¹	<ul style="list-style-type: none"> 3xTg-AD Mice and MC65 Cells 	<ul style="list-style-type: none"> Recombinant lentiviral vectors used to overexpression the GDNF gene in hippocampal astrocyte 	<ul style="list-style-type: none"> Memory and learning preservation Upregulation in BDNF Reduce atrophy and neurodegeneration
Li et al ⁶²	<ul style="list-style-type: none"> Aβ1-42-induced AD mouse model 	<ul style="list-style-type: none"> Stereotaxic injection of AAV9-NDI1 in the hippocampus 	<ul style="list-style-type: none"> Reduction in Tau phosphorylation and neuroinflammatory response Reduction in mitochondrial dysfunction Improvement in learning and memory
Ng et al ⁶³	<ul style="list-style-type: none"> APN^{-/-}5x FAD mice 	<ul style="list-style-type: none"> intravenous injection of adeno-associated virus (AAV2/8) expressing the mouse mutated adiponectin gene (APNC39S) into liver 	<ul style="list-style-type: none"> Overexpression of circulatory adiponectin and decreased both the soluble and fibrillar Aβ Reduction in Aβ-induced IL-1β and IL-18 secretion by suppressing microglial NLRP3-inflammation activation Improve in memory function
Zheng et al ⁶⁴	<ul style="list-style-type: none"> PS19 mice of tauopathy model 	<ul style="list-style-type: none"> injected bilaterally with Lentivirus-EGFP-NC or Lentivirus-EGFP-HTRA2-Flag into hippocampus 	<ul style="list-style-type: none"> Reduction in tau hyperphosphorylation Improve synaptic plasticity Improve cognitive defect
Jackson et al ⁶⁵	<ul style="list-style-type: none"> APP/PS1 AD mice 	<ul style="list-style-type: none"> ICV injection of AAV.APOE2 	<ul style="list-style-type: none"> Reduction in Aβ plaque Reduction in microglia activity Reduction in synaptic loss

or GMF-sgRNAs for microglia inhibition in the murine BV2 microglial cell line. They reported that microglial suppression was successfully induced by reducing p38 MAPK phosphorylation, which is beneficial for AD treatment.⁶⁷ In another experimental study, Park et al used stereotaxic injection of 10 μL catalytically inactivated Cas9 fused with DNA methyltransferase3a (CRISPR/dCas9-Dnmt3a) for targeted DNA methylation of APP in the APP-KI mouse brain. This study found that the APP mRNA level, Aβ peptide level and Aβ42/40 ratio were significantly reduced in treated APP-KI mouse neurons, which also attenuated cognitive and behavioral impairments in this mice.⁶⁸ Overall, these results indicate the need to explore different therapeutic strategies in CRISPR/Cas9 studies and to expand this technology for greater efficiency (Table 2, Fig. 2).

Gene therapy in VaD

VaD is one of the most common forms of dementia after AD, accounting for approximately 15% of cases. This condition arises from disturbances in blood supply

to the brain due to vascular issues, leading to nerve damage, brain injury, and resulting cognitive as well as memory problems. However, the relationship between cerebrovascular pathology and cognitive impairment is complex and not fully understood, largely due to variations in disease classification and diagnostic criteria.⁷³ Nevertheless, atherosclerosis seems to be a major cause of VaD, and other causative factors include aging, coronary artery disease, hypercholesterolemia in middle age, hypertension, obesity, metabolic syndrome, arteriosclerosis, smoking, and poor educational attainment.⁷⁴

The genetic basis of VaD is categorized into two main types: monogenic VaD and sporadic VaD. Monogenic VaD include cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy (CADASIL), hereditary cerebral hemorrhage with amyloidosis-Dutch type (HCHWA-D), and Fabry disease. These are often caused by mutations in specific genes, such as the NOTCH3 gene. The NOTCH3 gene encodes a protein receptor essential for the function and

Table 2. Therapeutic usage of CRISPR/Cas9 in AD treatment

Author	Model	Method	Findings
Gyorgy et al ⁶⁹	<ul style="list-style-type: none"> Human Swedish mutation of the amyloid precursor protein (APP^{Sw}) fibroblasts mimic monogenic form of AD 	<ul style="list-style-type: none"> SpCas9 plasmid together with different guide RNAs (gRNAs) 	<ul style="list-style-type: none"> Robustly decreased levels of both Aβ40 and Aβ42 in treated groups
Ortiz-Virumbrales et al ⁷⁰	<ul style="list-style-type: none"> Generated Basal forebrain cholinergic neurons (BFCNs) from iPSCs carrying PSEN2 mutation 	<ul style="list-style-type: none"> pSpCas9(BB)-2A-GFP with g1N1411 single guide RNA (sgRNA) 	<ul style="list-style-type: none"> decreased levels of Aβ40/Aβ42 ratio in treated groups improve in electrophysiological deficit
Duan et al ⁷¹	<ul style="list-style-type: none"> 5XFAD transgenic mice, which harbor five familial AD mutations (APP Swedish (APP(K670N/M671L)) 	<ul style="list-style-type: none"> Systemic delivery of AAV-PHP.eB-mediated Cas9-SW1 in 5XFAD mice Intrahippocampal injection of AAV-mediated Cas9-SW1 in APP/PS1 mice 	<ul style="list-style-type: none"> Decrease in amyloid-plaque in the different region of 5XFAD mice brain Improves cognitive performance in 5XFAD mice treated group Improves neuronal functions in 5XFAD mice Decrease in Aβ pathology of APP/PS1 mice brain
Yang et al ⁷²	<ul style="list-style-type: none"> 3xTg-AD mouse models 	<ul style="list-style-type: none"> Nanozyme-boosted MOF-CRISPR platform (CMOPKP) 	<ul style="list-style-type: none"> Activation in Nrf2 signaling pathway and downstream redox proteins and reduce oxidative stress Improve in cognitive impairment

Types Of Vascular Dementia (VaD)

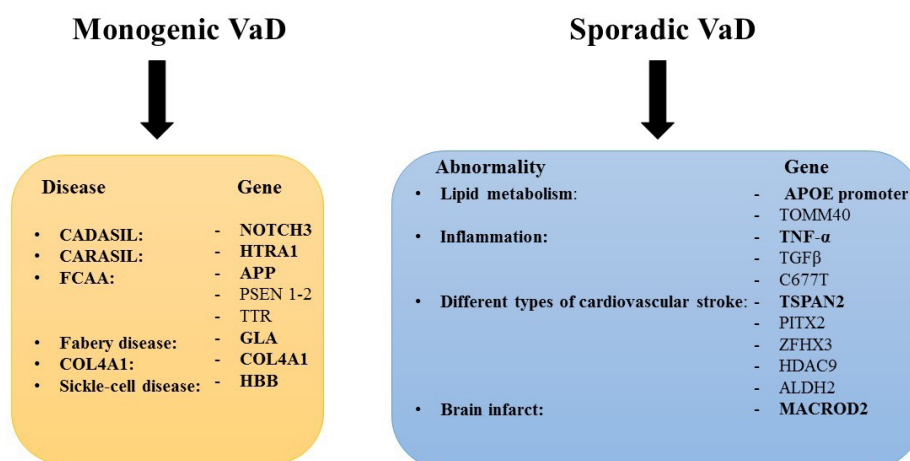


Fig. 3. Genes causing vascular dementia in the monogenic and sporadic VaD. CADASIL: Cerebral Autosomal Dominant Arteriopathy with Subcortical Infarcts and Leukoencephalopathy, CARASIL: Cerebral Autosomal-Recessive Arteriopathy with Subcortical Infarcts and Leukoencephalopathy, FCAA: Familial Cerebral Amyloid Angiopathy.

survival of vascular smooth muscle cells. Mutations in this gene are cause of cerebral small vessel disease (SVD) leading to impairment in vessels integrity, atherosclerosis, strokes, and reduction in blood perfusion.⁷⁵ In sporadic VaD, genetic abnormalities exacerbate tissue responses to hypoxia, inflammation, and neuronal death following reduced blood flow due to risk factors such as arterial plaque or infarction. In both situations, reduction in blood supply impairs chemical environment and oxygenation levels of neurons. Subsequently, hypoxia, oxidative stress, and inflammation would occur, causing mitochondrial dysfunction, blood brain barrier impairment, and microvascular dysfunction, and finally neuronal death, brain atrophy, and dementia (Fig. 3).⁷⁶

Gene therapy approaches for VaD target genetic

abnormalities. For example, in cases of monogenic VaD, Rutten et al investigated the correction of a cysteine residue in the epidermal growth factor-like repeat (EGFr) domain of the NOTCH3 receptor. Using pre-mRNA antisense-mediated skipping of specific exons, their in silico and in vitro studies demonstrated that the mutation could be eliminated, restoring normal NOTCH3 receptor function.⁷⁷ Additionally, the dual AAV split-ABEmax system has been employed to correct NOTCH3 gene mutations. This approach has been shown to recover deposition and correct abnormalities in the actin cytoskeleton structure of vascular smooth muscle cells (VSMCs) derived from CADASIL patient-derived induced pluripotent stem cells (hiPSCs). Furthermore, organoids generated from edited CADASIL blood vessels

exhibited enhanced cell adhesion and improved vessel structure.⁷⁸ In addition, CRISPR/Cas studies revealed that NOTCH3 gene edition could be useful for CADASIL treatment. Gravesteijn et al reported that exon 9 deletion from genomic DNA in HEK293 cells by CRISPR/Cas9-mediated genome editing targeting introns 8 and 9 would reduce the NOTCH3^{Δexon9} protein aggregation and is associated with relatively mild later-onset phenotype.⁷⁹ However, this study could not provide a promising therapeutic approach for CADASIL treatment due to small number of samples but it is a good guide for further research.

According to the majority of literature, gene therapy for the sporadic form of VaD is very limited. However, existing studies suggest that gene therapy targeting HIF-1-dependent genes such as VEGF,⁸⁰ or increase in neurotrophin levels may improve the signs and symptoms of VaD.^{81,82} For instance, the transfection of bone marrow-derived mesenchymal stem cells (BMSCs) with a recombinant NGF adenoviral vector followed by transplantation into the hippocampus of VaD-induced rats, demonstrated improvement in the animals learning and memory.⁸³ In another experimental study, VEGF-based gene therapy improved hemodynamics and boosted parenchymal microvascular density.⁸⁴ Similar findings were reported by Hiramatsu et al, who observed a significant increase in the total number of blood vessels following VEGF gene therapy in a rat model of VaD.⁸⁵ Despite these promising results, due to the variability in risk factors associated with VaD, significant challenges remain before gene therapy can become a suitable treatment option. Furthermore, especially in clinical trials, several issues still need to be addressed, such as the safety and efficacy of vectors and delivery systems, therapy duration, and cell-specific targeting strategies.⁸⁶

DLB or alpha-synucleinopathies

Lewy body dementia (LBD) and Parkinson's disease dementia (PDD) are often grouped together due to their shared pathological processes, collectively known as DLB. The distinguishing feature of this type of dementia is the presence of α -synuclein (Lewy bodies and Lewy neurites) in neurons, which leads to neuronal death. $A\beta$ also plays a significant role in DLB.⁸⁷ However, even in dementia associated with PDD, neurofibrillary tangles containing tau protein and $A\beta$ contribute to more advanced dementia, suggesting that these pathologies interact.⁸⁸ The genetic basis of DLB can be categorized into two groups based on subtypes. In the case of PDD, around 3-5% of cases result from monogenic mutations, while approximately 90 gene variants have been associated with a 16-36% increased risk of PDD.⁸⁹ Mutations in synuclein alpha (SNCA), glucocerebrosidase (GBA), leucine-rich repeat kinase 2 (LRRK2), PTEN induced kinase 1 (PIKN1), and parkin RBR E3 ubiquitin protein ligase (PRKN) genes

are the most common genetic susceptibilities reported.⁹⁰ For instance, the SNCA mutation is linked to more rapid progression of motor defects and cognition decline in PDD, while LRRK2 mutations often occur in familial PDD cases.⁹¹ Conversely, LBD is associated with mutations in the APOE ϵ 4 allele, GBA, and SNCA genes⁹² (Fig. 4).

PDD arises from the progressive degeneration of dopaminergic neuron in substantia nigra pars compacta (SNpc) due to α -synuclein accumulation lowering dopamine levels leading to sensorimotor dysfunction and cognitive decline.⁹³ Motor symptoms such as bradykinesia, tremors, gait dysfunction, and rigidity are hallmarks of PDD, while non-motor symptoms such as dementia, depression, and anxiety emerge as the disease progresses.⁹⁴ The pathology and symptoms of DLB significantly overlap with those of PDD, with cognitive decline, and motor dysfunction, typically becoming apparent within the first year of disease onset.⁹⁵ The hallmark symptoms of DLB include cognitive impairment, executive dysfunction, visuospatial deficits, visual hallucinations, and memory loss.⁹⁶

Gene therapy for PDD primarily focuses on dopamine replacement, targeting motor symptoms more than cognitive deficits. This emphasis stems from the fact that dopamine reduction in the striatum initially causes motor dysfunction, with cognitive impairments developing later.⁹⁷ For example, Jarraya et al injected a viral vector encoding dopamine synthesis genes, such as tyrosine hydroxylase, into the striatum of a macaque model of PDD. They reported increased dopamine expression and extracellular dopamine concentrations, which corrected motor deficits without inducing dyskinesia, unlike traditional L-dopa treatment.⁹⁸ Similar results were observed in clinical trials conducted by Christine et al⁹⁹ and in a Phase 1 trial by Chadwick et al on putaminal AADC gene therapy.¹⁰⁰ Despite these advances, a gap remains in understanding the effects of dopamine gene therapy on cognitive symptoms in PDD. While medications such as levodopa and pramipexole have been shown to improve working memory and alleviate depression in PDD patients, there is no clear evidence linking gene therapy to cognitive improvement.^{101,102} Other studies have focused on neurotrophins such as GDNF and BDNF, revealing that serum levels and gene polymorphisms of these factors are related to PD. However, gene therapy involving neurotrophins has not yet yielded significant results for PDD, despite improvements in motor symptoms.¹⁰³⁻¹⁰⁵ These findings indicate that while neurotrophin and dopamine gene therapies hold promise for addressing motor deficits, the cognitive aspects of PDD remain underexplored.^{106,107} Further research is required to evaluate the impact of gene therapy on learning and memory in PDD.

Gene therapy targeting different proteins represents another promising avenue for PDD treatment. In an

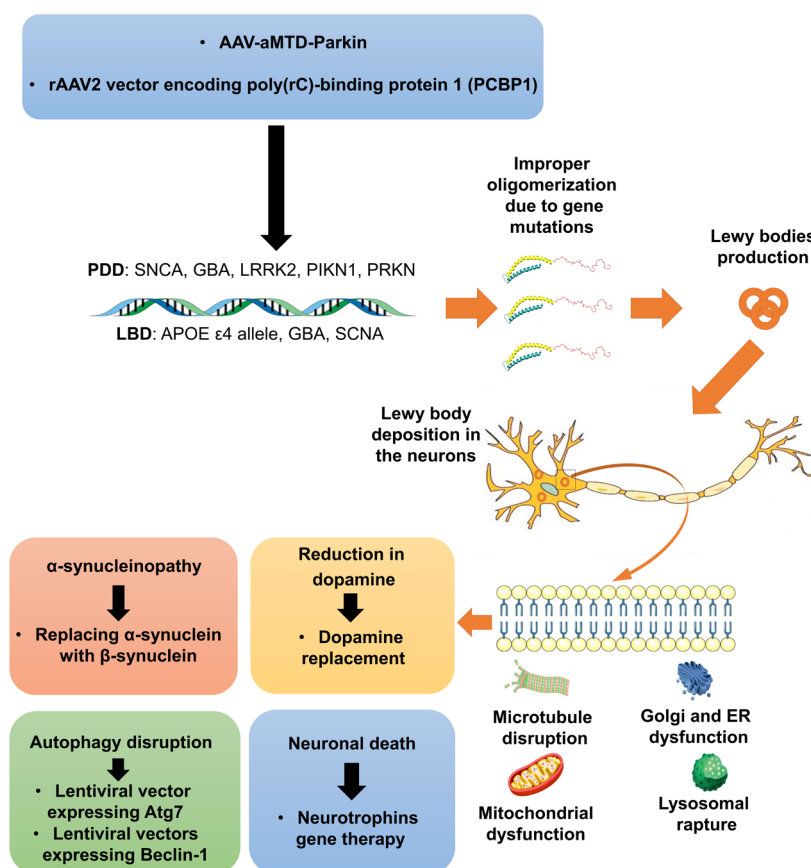


Fig. 4. Gene therapy in PDD is primarily designed to mitigate neuronal death through the modulation of autophagic pathways, restoration of dopaminergic function, and intervention in alpha-synucleinopathy. This multifaceted approach seeks to enhance neuronal survival and function by addressing the underlying pathophysiological mechanisms associated with PDD. PDD: Parkinson's disease dementia, LBD: Lewy body disease, ER: Endoplasmic reticulum, SNCA: Synuclein Alpha, GBA: Glucocerebrosidase, LRRK2: Leucine-Rich Repeat Kinase 2, PINK1: Phosphatase and tensin homolog-induced putative kinase 1, PRKN: Parkin RBR E3 Ubiquitin Protein Ligase, AAV: Adeno-associated Virus, aMTD: Amino-terminal modulator of drosophila.

experimental study, Lee et al demonstrated that injecting a novel AAV-Parkin construct, termed AAV-aMTD-Parkin, which combines a hydrophobic cell-penetrating peptide sequence with AAV and Parkin DNA, into the brains of 6-OHDA- and AAV- α -Syn-induced PDD mice enhanced both motor and cognitive functions. This gene therapy also activated tyrosine hydroxylase (TH), a key enzyme in dopamine production, and significantly improved cell and tissue permeability.¹⁰⁸ Similarly, Ma et al injected a rAAV2 vector encoding poly(rC)-binding protein 1 (PCBP1), a protein that regulates gene expression and plays a role in neurodegenerative diseases, into a 6-OHDA cell and rat model of PDD. The treated groups presented notable improvements in behavioral abnormalities, reduced anxiety, and enhanced cell protection against death in culture.¹⁰⁹ However, further research is needed to evaluate the broader effects of gene therapy on PDD, particularly regarding movement and memory.

Another promising approach in gene therapy for LBD is the prevention of α -synucleinopathy. Research in this area primarily focuses on experimental studies with limited cognitive assessment. For instance, Spencer et

al employed lentiviral vectors expressing Beclin-1, an autophagy regulator, in α -synuclein transgenic mice. Their results indicated reduced α -synuclein accumulation in the limbic system, along with diminished synaptic and dendritic dysfunction. Coexpression of Beclin-1 and α -synuclein in neuronal cell lines also led to reduced autophagy.¹¹⁰ However, studies by Crews et al found no significant difference in Beclin-1 levels between DLB patients and controls. Their work showed that intracerebral injection of a lentiviral vector expressing Atg7, another autophagy pathway component, in transgenic mice reduced α -synuclein accumulation, mitigated neuronal damage, and elevated levels of MAP2, a dendritic marker, in the hippocampus.¹¹¹ These findings highlight the crucial role of lysosomal dysfunction and autophagy in α -synucleinopathies.

Further gene therapy research has focused on replacing α -synuclein with β -synuclein, a non-amyloidogenic homolog. Unilateral intracerebral injection of lentiviral β -synuclein in transgenic models of α -synucleinopathies has shown promise by reducing α -synuclein accumulation in synapses and promoting Akt activation, which enhances neurite development and protects neurons from the toxic

effects of α -synuclein.¹¹² These studies suggest that gene therapy has potential for addressing α -synucleinopathies by targeting various molecular pathways and mechanisms involved in the disease. Further research is necessary to refine these approaches and assess their efficacy in clinical settings.

The CRISPR/Cas9 method represents another potential gene therapy option for α -synucleinopathies, particularly in PDD. A key target in these studies is the SNCA gene. Chen et al used CRISPR/Cas9n to delete the endogenous SNCA gene in midbrain dopaminergic (mDA) neuronal precursors differentiated from human embryonic stem cells (hESCs). They reported that the transgenic mDA cells were resistant to α -synucleinopathy.¹¹³ Similarly, Sastre et al developed a CRISPR interference (CRISPRi) system targeting α -synuclein expression at the transcriptional level in iPSC-derived neuronal cultures from a patient with an SNCA genomic triplication. Their study demonstrated a variable downregulation of α -synuclein, which led to a reduction in oxidative stress and mitochondrial DNA damage, ultimately rescuing the cells from death.¹¹⁴

In another approach, some studies explored reprogramming striatal glial cells into induced GABAergic neurons using CRISPR/Cas gene-editing as a potential treatment for Parkinson's disease (PD).^{115,116} For example, Giehl-Schwab et al showed that astrocyte-derived induced GABAergic neurons could integrate into the neural circuits of the striatum and improve motor function in a PD model. However, despite the important role of the striatum in cognition-related behaviors,¹¹⁷ this study did not investigate cognitive outcomes (Fig. 4).

Gene therapy in Frontotemporal dementia

Frontotemporal dementia (FTD) is an enigmatic neurodegenerative clinical syndrome characterized by progressive deficits in behavior, executive function, and language. It is one of the most common types of dementia across all age groups and is considered an early-onset form of dementia. FTD includes different subtypes, such as the behavioral variant (bvFTD), which is mainly associated with personality changes and social-emotional functioning, and primary progressive aphasia (PPA), which further divides into semantic variant PPA (svPPA) and nonfluent variant PPA (nfvPPA).¹¹⁸ FTD is characterized by atrophy of the frontal and temporal lobes, resulting from protein misfolding and their abnormal deposition in central nervous system cells. Protein accumulation, primarily TDP-43, MAPT, and FUS, has been observed in 50%, 40%, and 10% of cases, respectively. The abnormal protein folding is often due to genetic mutations (25%), including mutations in the chromosome 9 open reading frame 72 (C9orf72, lead to TDP-43 accumulation) gene, progranulin (GRN, has role in inflammation and neuronal survival), and tau microtubule-associated protein (MAPT, has role in tau coding).¹¹⁹ Elevated levels

of A β in the cerebrospinal fluid of young individuals with progressive dementia are also linked to the occurrence of FTD, and widespread white matter damage is another hallmark of the disease.¹²⁰ The hyperphosphorylated tau protein forms neurofibrillary tangles that interfere with axonal transport and disrupt neuronal communication, while TDP-43 mislocalizes from the nucleus to the cytoplasm, forming cytoplasmic inclusions that impair RNA processing and gene expression. The resulting inflammatory response, combined with the direct toxic effects of these protein aggregates, leads to progressive neuronal death and synaptic dysfunction. Ultimately, these processes contribute to the cognitive decline and behavioral changes characteristic of FTD.

GRN is a major target of gene therapy in FTD, explored in both clinical and experimental studies. For instance, sub-occipital intrathecal injection of PR006, a recombinant AAV9 vector targeting GRN, was administered to 15 participants with GRN-mutant FTD to evaluate safety and tolerability.¹²¹ Preclinical studies demonstrated that a single injection of PR006 lowered neuroinflammatory marker expression, reduced ubiquitin accumulation, and increased GRN expression in FTD mouse models, all while being well-tolerated.¹²² In a first-in-human phase 1/2 open-label trial, Sevigny et al reported that low-dose (n=6) and mid-dose (n=7) PR006 administered intrathecally was generally safe and well-tolerated, with cerebrospinal fluid (CSF) pleocytosis being the most common adverse event related to PR006. Although blood progranulin levels transiently increased in most patients, cognitive and behavioral performance, assessed by Clinical Dementia Rating (CDR), showed progressive decline within the broad range reported for FTD patients in both groups.¹²³ Similarly, AAV9-mediated human GRN delivery via stereotaxic microinjection into the right posterior lateral ventricle of GRN knockout mice led to sustained progranulin expression in the brain, particularly in the ipsilateral striatum and frontal cortex, in a cell- and region-specific manner.¹²⁴ Lysosomal dysfunction is another critical aspect of FTD pathology, especially in cases of complete GRN deficiency, which results in neuronal ceroid lipofuscinosis (NCL), a lysosomal storage disorder.¹²⁵ Arrant et al demonstrated that AAV-mediated GRN delivery in a transgenic mouse model of FTD improved lysosomal function, reduced microgliosis, and corrected abnormal lysosomal enzyme activity, such as cathepsin D.¹²⁶ Other studies found that inhibiting the GRN mutation RNA pathway could elevate GRN mRNA levels in transgenic FTD mouse models.¹²⁷ Collectively, these data suggest that GRN gene therapy is a valuable approach for managing FTD, though further research, particularly into cognitive outcomes, is still needed (Fig. 5).

Another gene therapy target in FTD is C9orf72, though sparse studies exist on this target. Mutations in the

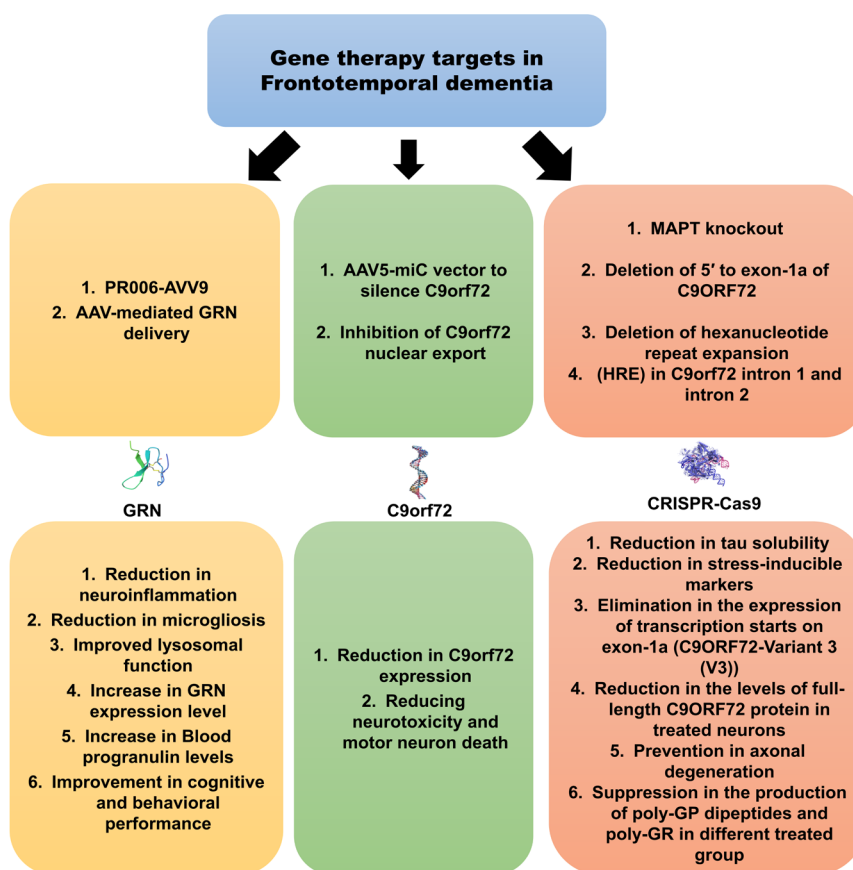


Fig. 5. Gene therapy in frontotemporal dementia aims to address the pathophysiological effects of this disease, particularly by targeting GRN and C9orf72, to preserve neurons and reduce the incidence of cognitive impairments. GRN: Granulin, C9orf72: Chromosome 9 open reading frame 72, AAV: Adeno-associated virus, MAPT: Microtubule-associated protein tau.

C9orf72 gene are common in both amyotrophic lateral sclerosis (ALS) and FTD. Martier et al conducted one of the most comprehensive studies, using an AAV5-miC vector to silence C9orf72 in human-derived induced pluripotent stem cell (iPSC) neurons and an ALS mouse model. They reported reduced C9orf72 expression and diminished accumulation of repeat-containing C9orf72 transcripts in iPSCs, as well as a 20%-40% reduction in C9orf72 mRNA in the cortex and striatum of mice.¹²⁸ Inhibition of C9orf72 nuclear export through depletion of SRSF1 or blocking its interaction with NXF1 also showed

promise in reducing neurotoxicity and motor neuron death in vitro and in vivo ALS models, with potential implications for FTD.¹²⁹ CRISPR/Cas9 gene editing has emerged as a promising therapeutic approach for FTD, particularly targeting C9orf72 and MAPT. Although most studies have been conducted in cell lines, in vivo research remains rare. Consequently, further studies, particularly focusing on cognitive symptoms, are crucial to determining the full therapeutic potential of CRISPR/Cas9 in FTD (Table 3).

Table 3. Therapeutic usage of CRISPR/Cas9 in FTD treatment

Author	Model	Method	Findings
Silva et al ¹³⁰	<ul style="list-style-type: none"> (iPSC)-derived neuronal models from FTD patients carrying tau A152T or P301L variants for taupathy 	<ul style="list-style-type: none"> CRISPR/Cas9-mediated MAPT knockout (KO) 	<ul style="list-style-type: none"> Reduction in tau solubility Decrease in stress-inducible markers
Krishnan et al ¹³¹	<ul style="list-style-type: none"> iPSCs derived motor neurons 	<ul style="list-style-type: none"> CRISPR/Cas9-based deletion 5' to exon-1a of C9ORF72 	<ul style="list-style-type: none"> Elimination in the expression of transcription starts on exon-1a (C9ORF72-Variant 3 (V3)) Reduction in the levels of full-length C9ORF72 protein in treated neurons Prevention in axonal degeneration
Meijboom et al ¹³²	<ul style="list-style-type: none"> HEK293T cells iPSCs derived motor neurons Three types of tg C9ORF72 mice 	<ul style="list-style-type: none"> Deletion hexanucleotide repeat expansion (HRE) in C9orf72 intron 1 and intron 2 by AAV9-pU1a-SpCas9-RBGpA vector 	<ul style="list-style-type: none"> Suppression in the production of poly-GP dipeptides and poly-GR in different treated group Reduced levels of nuclear sense RNA foci

Review Highlights

- Gene therapy offers new hope for patients with various forms of dementia, as researchers investigate its potential to slow or halt disease progression.
- Recent studies suggest that gene therapy may have a positive impact on dementia by targeting specific genetic mechanisms underlying the condition.

Concluding remarks

Gene therapy offers a novel approach to addressing the underlying genetic and molecular mechanisms of dementia. Its potential to target specific pathological processes in common forms of dementia, including AD, VaD, DLB, and FTD, provides a promising avenue for more effective treatments. However, despite the potential, several challenges remain, including concerns over long-term safety and the complexity of gene regulatory pathways. Additionally, the full genetic role in various forms of dementia is not yet fully understood, which limits the current effectiveness of gene therapy. One significant challenge is finding safe and effective methods for gene delivery, though advancements in vector technologies continue. Most research has focused primarily on the genetic basis of dementia, with less emphasis on other factors affecting cognition, learning, and memory—the primary clinical manifestations of the disease. As the field advances, collaboration among scientists, healthcare providers, and regulatory bodies will be essential for the responsible and effective application of gene therapy in dementia. The future holds great potential, and gene therapy could ultimately lead to significant advances in treatment for dementia.

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Competing Interests

None to be declared.

Ethical Approval

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